

28738

TRANSMITTAL FORM

Application Serial Number	09/413,444
Filing Date	October 6, 1999
First Named Inventor	Albert
Group Art Unit	2873
Examiner Name	E. Lester
Attorney Docket No.	INK-069

RECEIVED
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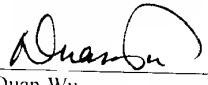
ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input checked="" type="checkbox"/> Amendment and Response (14 pages) <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] <input checked="" type="checkbox"/> Extension of Time Request (1 pg.) <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement (2 pgs.) <input checked="" type="checkbox"/> Form PTO-1449 (7 pgs.) <input checked="" type="checkbox"/> Copies of IDS Citations A277-A352, B175-B219, C88-C110 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Petition Routing Slip (PTO/SB '69) and Accompanying Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund <input type="checkbox"/> After Allowance Communication to Group	<input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <input checked="" type="checkbox"/> Supplemental Application Data Sheet (5 pgs.) <input checked="" type="checkbox"/> Limited Recognition under Rule 10.9(b) (1 pg.)
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CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
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High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
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SIGNATURE BLOCK

Respectfully submitted,

Date: September 12, 2002
Reg. No. (Limited Recognition)
Tel. No.: (617) 248-7808
Fax No.: (617) 248-7100
Duan Wu
Attorney for Applicant(s)
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110

FEE TRANSMITTAL

FY 2001

SEP 20 2002

Complete if Known

Serial Number 09/413,444
Filing Date October 6, 1999
First Named Inventor Albert
Group Art Unit 2873
Examiner Name E. Lester
Attorney Docket No. INK-069

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
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130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	445.00
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
180	180	Submission of Information Disclosure Statement (37 CFR 1.97(c))	180.00
180	180	Submission of Information Disclosure Statement (37 CFR 1.97(d))	
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (Specify)
Other fee (Specify)

SUBTOTAL (3) (\$ 625.00)

SUBTOTAL (1) 0.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 625.00

TOTAL (\$ 625.00)

FEE CALCULATION

1. FILING FEE

Large Entity Fee (\$)	Fee Description	Fee Paid
710	Utility filing fee	
320	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
Total Claims	- 20 =		x \$ 18.00 =	
Independent Claims	- 3 =		x \$ 80.00 =	

☐ Multiple Dependent Claim(s), if any \$270.00 =

TOTAL:
SMALL ENTITY DISCOUNT:
SUBTOTAL (1) (\$)

2. AMENDMENT CLAIM FEES

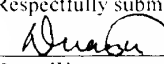
Claims Remaining After Amend	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total 65	- 97 =	0	x \$ 18.00 =	0.00
Indep. 4	- 9 =	0	x \$ 80.00 =	0.00
<input type="checkbox"/> First Presentation of Multiple Dep Claim + \$270.00 =				

TOTAL: (\$)
SMALL ENTITY DISCOUNT: (\$)
SUBTOTAL (2) (\$ 0.00)

CORRESPONDENCE ADDRESS

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Respectfully submitted,

Date: September 12, 2002
Reg. No.: Limited Recognition
Tel. No.: (617) 248-7808
Fax No.: (617) 248-7100
Duan Wu
Attorney for the Applicants
Testa, Hurwitz & Thibault, LLP
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PATENT
Attorney Docket No. INK-069

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Albert et al.

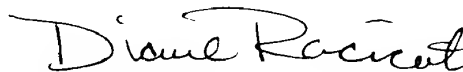
SERIAL NUMBER: 09/413,444 ART UNIT: 2873

FILING DATE: October 6, 1999 EXAMINER: E. Lester

TITLE: Encapsulated Electrophoretic Displays Having a Monolayer of Capsules and Materials and Methods for Making the Same

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on this 12th day of September 2002.

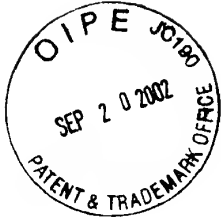

Diane Racicot

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 pg.);
2. Fee Transmittal Form (1 pg.);
3. A check in the amount of \$625.00;
4. Amendment and Response (14 pgs.);
5. Supplemental Application Data Sheet (5 pgs.);
6. Supplemental Information Disclosure Statement (2 pgs.);
7. Form PTO-1449 (7 pgs.);
8. Copies of references A277-A352, B175-B219, C88-C110;
9. Petition of Extension of Time (1 pg.);
10. Copy of "Limited Recognition Under 37 C.F.R. § 10.9(b)" (1 pg.);
11. and a mailroom postcard.



**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Duan Wu is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Testa, Hurwitz & Thibault, LLP to prepare and prosecute patent applications wherein the patent applicant is the client of Testa, Hurwitz & Thibault, LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of Testa, Hurwitz & Thibault, LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Duan Wu ceases to lawfully reside in the United States, (ii) Duan Wu's employment with Testa, Hurwitz & Thibault, LLP ceases or is terminated, or (iii) Duan Wu ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: October 1, 2002

Harry I. Moatz

Director of Enrollment and Discipline